

COMMONWEALTH OF KENTUCKY
EXECUTIVE BRANCH ETHICS COMMISSION
Capital Complex East, 1025 Capital Center Drive, Suite 104
Frankfort, KY 40601
PHONE: 502-564-7954 OR 800-664-7954
FACSIMILE: (502) 695-5939
ETHICSFILER@KY.GOV

FEB 15 2019
Executive Branch
Ethics Commission

STATEMENT OF FINANCIAL DISCLOSURE

For Calendar Year 2018

COMPLETE ALL SECTIONS WITHOUT LEAVING ANY SECTION BLANK

EXECUTIVE BRANCH OFFICERS: Submit by one of the prescribed methods by April 15 or within 30 days of separation from an officer position. (KRS 11A.050(1)(a))

CANDIDATES FOR CONSTITUTIONAL OFFICE: Submit by one of the prescribed methods by February 15. (KRS 11A.050(1)(c); KRS 11A.010(13))

STATEMENTS OF FINANCIAL DISCLOSURE SHALL BE
AVAILABLE FOR PUBLIC REVIEW

ANSWER EVERY QUESTION

1. Name: Last **HOLLAND, JR** First **JOHN** Middle or Maiden **GILL**
2. Home Street Address: **NE**
City: **HARRODS CREEK** State: **KY** Zip: **40027-**
Home Phone: () - Home E-mail address: **AIL.COM**
Mobile Phone: ()

3. If you are a candidate for a constitutional office, check appropriate box:

<input type="checkbox"/>	Agriculture Commissioner	<input checked="" type="checkbox"/>	Lt. Governor
<input type="checkbox"/>	Attorney General	<input type="checkbox"/>	Secretary of State
<input type="checkbox"/>	Auditor of Public Accounts	<input type="checkbox"/>	State Treasurer
<input type="checkbox"/>	Governor	<input type="checkbox"/>	NOT A CANDIDATE

4. Title of Position or office in 2018 that requires filing: **N/A**

Beginning Date:

Do you still occupy this position? Yes ☐ No ☐ If no, ending date:

STATE AGENCY FOR POSITION LISTED ABOVE:

CABINET: Choose an item.

Department or Office:

Division:

Work Street Address:

City:

State:

Zip: -

Work Phone: () -
Ext.

Work E-mail address:

If not employed by state agency, current employer: **SELF**

Work Address: **2509 PORTLAND AVENUE**

City: **LOUISVILLE** State: **KY** Zip: -

Title of any other state jobs or positions you held during the reporting year, including state government agency name.

NONE ☒

5. Name and address of any other employers (including self-employment) during reporting year:

NONE ☐

Employer: **SELF**

Work Address: **2509 PORTLAND AVENUE**

City: **LOUISVILLE** State: **KY** Zip: **40212-1039**

6. Marital status:

☐ Single

☒ Married

☐ Widowed (if event occurred prior to calendar year 2018 skip to Question 8.)

☐ Divorced (if event occurred prior to calendar year 2018 skip to Question 8.)

If married, please give spouse's full name (including maiden name where applicable):

Last: **HOLLAND**

First: **AUGUSTA**

Middle: **BROWN**

7a. Spouse's current employer and employer's address:

NONE ☐

Employer: **SELF**

Work Address: **215 S. SHELBY STREET**

City: **LOUISVILLE** State: **KY** Zip: **40206-1628**

Work Phone: **(502) 777-1240** Work E-mail address: **AUGUSTAHOLLAND@GMAIL.COM**

7b. Spouse's position: **RESTAURANT AND REAL ESTATE ENTREPRENEUR**

7c. Other employers of Spouse (including self-employment during reporting year)

NONE ☒

8. List the full name of each dependent child of you and/or your spouse:

NONE ☐

HOLLAND

IE HOLLAND

O

WN HOLLAND

9. List all positions of a fiduciary nature held by you or your spouse in a business, including the name and address of the business:

NONE ☐

SEE ATTACHED SCHEDULE A

SELF & SPOUSE SERVED AS TRUSTEE OF VARIOUS TRUSTS BENEFITTING IMMEDIATE FAMILY MEMBERS DURING THE YEAR

10. List any other position in a business, partnership or corporation held by you or your spouse including the name and address of the business:

NONE ☐

SEE ATTACHED SCHEDULE A

11. Provide the name and address of any business in which you, your spouse, or dependent children owned an interest which has a fair market value of at least ten thousand dollars (\$10,000) or which equals at least five percent (5%) of the business; specify whether you listed the interest because of its fair market value or because it constitutes at least five percent of the business:

NONE ☐

SEE ATTACHED SCHEDULE A

12. Provide all sources of gross income exceeding \$1,000 from any one source not listed above, (including interest, dividends, investment income) to you, your spouse, or a dependent child, indicating the form of the income and the nature of the business and the name and address of the income source.

NONE ☐

SEE ATTACHED SCHEDULE A

13. Provide the name and address of all sources of retainers received by you or your spouse relating to matters of the state agency for which you work or supervise or of any other entity of state government for which you would serve in a decision-making capacity.

NONE ☒

14. Describe any representation or intervention performed by you or your spouse for any person or business for compensation before a state agency for which you work or supervise or before any entity of state government for which you would serve in a decision-making capacity, and include the name and address of that person or business.

NONE ☒

15. Provide the street address or location and description of all real property in which you, your spouse, or a dependent child holds an interest of at least ten thousand dollars (\$10,000):

NONE ☐

**RESIDENTIAL AND COMMERCIAL RENTAL/INVESTMENT PROPERTY IN LOUISVILLE, KY
FARM IN OLDHAM COUNTY, KENTUCKY
RENTAL/INVESTMENT PROPERTY IN MASSACHUSETTS AND BAHAMAS**

16. List all sources, including name and address, of gifts of money or property with a retail value of more than two hundred dollars (\$200) from any one source which were given to you, your spouse, or dependent children by any person or entity other than a member of your family.

NONE ☒

17. Identify all creditors, including an address, to whom you owe more than ten thousand dollars (\$10,000) except when the debt was incurred for the purchase of consumer goods: NONE ☐

US BANK, LOUISVILLE, KY
PNC BANK, LOUISVILLE, KY
PARK COMMUNITY CREDIT UNION, LOUISVILLE, KY
REPUBLIC BANK, LOUISVILLE, KY
CENTRA CREDIT UNION, LOUISVILLE, KY
WESBANCO, LOUISVILLE, KY
CITIZENS UNION BANK, LOUISVILLE, KY
RIVER CITY BANK, LOUISVILLE, KY
HOPE, KENTUCKY

18. Are you aware of any business opportunity, investment opportunity, or other benefit, tangible or intangible, received by you or any member of your family which might reasonably be construed as being offered in return for favorable treatment or any other benefit, tangible or intangible, from state government? [PLEASE CONSIDER CAREFULLY BEFORE ANSWERING]

NO ☒

YES ☐

If yes, attach a description.

I SWEAR OR AFFIRM THAT THE INFORMATION REPORTED
IN THIS STATEMENT OF FINANCIAL DISCLOSURE
IS COMPLETE AND ACCURATE.

SIGN AND SEND TO THE EXECUTIVE BRANCH ETHICS COMMISSION AS DESCRIBED BELOW.

Signature



Date:

2-15-19

Typed or printed name

PENALTIES:

WITHHELD SALARY: Any officer, public servant, or candidate required to file a statement of financial disclosure under KRS 11A.050 who does not file the statement by a date specified in that section shall have his salary withheld from the first day of noncompliance until he shall have completed the action required by law. The amount withheld shall be deducted from his overall pay and allowances and shall be recoverable upon the filing of the statement of financial disclosure. The commission may grant a reasonable extension of time for filing a statement of financial disclosure for good cause shown. KRS 11A.990(2).

FINES: Any officer, public servant, or candidate who fails to file or files a false Statement of Financial Disclosure may be subject to a written, public reprimand, a recommendation from the Commission that the violator be removed or suspended from office or employment, and required to pay a civil penalty of not more than \$5,000. KRS 11A.100(3).

When you have answered every question, PRINT the Disclosure, SIGN it, and SUBMIT it by:

ELECTRONIC MAIL: EthicsFiler@ky.gov

FAX: (502) 695-5939

IN PERSON or by U.S. MAIL:

Executive Branch Ethics Commission

SCHEDULE A

QUESTIONS 9, 10, 11, AND 12

PERSON	ENTITY	QUESTION 9, FIDUCIARY POSITIONS	QUESTION 10, OTHER POSITIONS	QUESTION 11, INVESTMENT > \$10,000	QUESTION 12, INCOME > \$1,000
NON-PROFIT BOARDS:					
SELF	ACTORS THEATRE OF LOUISVILLE, INC	VICE PRESIDENT (501C-3)	N/A	N/A	N/A
SELF	FUND FOR THE ARTS, INC	DIRECTOR	N/A	N/A	N/A
SPOUSE	LOUISVILLE COLLEGIATE SCHOOL	TRUSTEE	N/A	N/A	N/A
SPOUSE	THE AUGUSTA BROWN HOLLAND PHILANTHROPIC FOUNDATION	PRESIDENT	N/A	N/A	N/A
SPOUSE	THE BROOKE BROWN BARZUN PHILANTHROPIC FOUNDATION	DIRECTOR	N/A	N/A	N/A
SPOUSE	THE OWSLEY BROWN III PHILANTHROPIC FOUNDATION	DIRECTOR	N/A	N/A	N/A
SPOUSE	THE OWSLEY BROWN II FAMILY FOUNDATION	DIRECTOR	N/A	N/A	N/A
PRIVATE COMPANIES/FUNDS:					
SELF	1237 WEST MAIN STREET GARAGE, LLC	MANAGER	MEMBER	X	YES
SELF	1301 W. MAIN ST., LLC	MANAGER	MEMBER	X	NO
SELF	1427 LYTLER LLC	MANAGER	MEMBER	X	NO
SELF	1512 PORTLAND AVENUE LLC	MANAGER	MEMBER	X	NO
SELF	1715 PORTLAND AVENUE, LLC	MANAGER	MEMBER	X	YES
SELF	21ST CENTURY SHOTGUN, LLC	MANAGER	MEMBER	X	YES
SELF	4 BLOKES, LLC	NONE	MEMBER	X	YES
SPOUSE	ADVANCED CANCER THERAPEUTICS, LLC	NONE	MEMBER	X	NO
SELF	ARTIST ROW PORTLAND, LLC	MANAGER	MEMBER	X	YES
SPOUSE	ASHBOURNE PARTNERS 2000, LTD	GENERAL PARTNER	LIMITED PARTNER	X	YES
SPOUSE	ASHBOURNE PARTNERS XI, LTD	GENERAL PARTNER	LIMITED PARTNER	X	YES
SPOUSE	ASHBOURNE PARTNERS XII, LTD	GENERAL PARTNER	LIMITED PARTNER	X	YES
SELF	ATELIER PORTLAND, LLC	MANAGER	MEMBER	X	NO
SPOUSE	BLUEGRASS ANGELS FUND IV, LLC	NONE	MEMBER	X	NO
SPOUSE	BODLEY PARTNERS, LLC	NONE	MEMBER	X	YES
SPOUSE	BRAND EQUITY VENTURES I, LP	NONE	LIMITED PARTNER	X	YES
SPOUSE	BRAND EQUITY VENTURES II, LP	NONE	LIMITED PARTNER	X	YES
SPOUSE	CAMP MANITUCKY LTD	SECRETARY		X	NO
SELF	COLLABRA INNOVATIONS, LLC	NONE	MEMBER	X	NO
SELF, SPOUSE	ENTERPRISE ANGELS COMMUNITY FUND I, LLC	NONE	MEMBER	X	YES
SELF	GREAT SCOTT PORTLAND, LLC	MANAGER	MEMBER	X	YES
SPOUSE	HARRODS CREEK 2014 INVESTMENT PARTNERS, LLC	MANAGER	MEMBER	X	YES
SPOUSE	HARRODS CREEK HOSPITALITY GROUP LLC	MANAGER	MEMBER	X	YES
SELF, SPOUSE	HAYSTACK PARTNERS, LLC	MANAGERS	MEMBER	X	YES
SPOUSE	HC GENERAL STORE, LLC	MANAGER	MEMBER	X	NO
SPOUSE	HEBE THREE, LP	GENERAL PARTNER	LIMITED PARTNER	X	YES
SELF, SPOUSE	HOLLAND BROWN, LLC	MANAGER	MEMBER	X	NO
SELF	KENTUCKY GAME BALL, LLC	NONE	MEMBER	X	NO
SELF, SPOUSE	MOBILE SERVE, LLC	NONE	MEMBER	X	NO
SELF, SPOUSE	NULU 720, LLC	NONE	MEMBER	X	YES
SELF, SPOUSE	NULU WAYSIDE PARTNERS, LLC	MANAGERS	MEMBER	X	YES
SPOUSE	OLYMPUS III, LLC	MANAGER	MEMBER	X	YES
SELF	OMPHALOS, LLC	NONE	MEMBER	X	NO
SELF	PORTLAND AVE FIREHOUSE, LLC	MANAGER	MEMBER	X	YES
SELF	PORTLAND IMPACT INVESTMENTS, LLC	MANAGER	MEMBER	X	NO
SELF	PORTLAND STATION HOLDINGS, LLC	MANAGER	MEMBER	X	YES
SELF	PORTLAND STROLL DISTRICT, LLC	MANAGER	MEMBER	X	YES
SELF, SPOUSE	PORTLAND WORKS, LLC	MANAGER	MEMBER	X	YES
SPOUSE	QUARRY POOL PARTNERS, LLC	MANAGER	MEMBER	X	NO
SELF, SPOUSE	ROWAN DOWNSTREAM LLC	MANAGER	MEMBER	X	NO
SELF	SALVATION PORTLAND HOLDINGS, LLC	MANAGER	MEMBER	X	YES
SELF	SOCCER HOLOINGS, LLC (FORMERLY USL PRO LOUISVILLE INVESTOR, LLC)	NONE	MEMBER	X	NO
SELF, SPOUSE	SOCIABLE WEAVER, LLC	MANAGER	MEMBER	X	NO
SELF, SPOUSE	SONABLASTI RECORDS, LLC	MANAGER	MEMBER	X	YES
SELF, SPOUSE	SONABLASTI SONGS, LLC	MANAGER	MEMBER	X	YES
SELF	SOOTHE INVESTMENTS WM, LLC	NONE	MEMBER	X	NO

PERSON	ENTITY	QUESTION 9, FIDUCIARY POSITIONS	QUESTION 10, OTHER POSITIONS	QUESTION 11, INVESTMENT > \$10,000	QUESTION 12, INCOME > \$1,000
SELF, SPOUSE	SUNNYSIDE HI, LLC	MANAGER	MEMBER	X	YES
SELF	SUPPORTLAND, LLC	NONE	MEMBER	X	NO
SELF	TAN LINES, LLC	MANAGER	MEMBER	X	NO
SELF	THE FORECASTLE FESTIVAL, LLC	NONE	MEMBER	X	YES
SELF	THE GROUP ENTERTAINMENT, LLC	MANAGER	MEMBER	X	YES
SPOUSE	THE PINE ROOM, LLC	MANAGER	MEMBER	X	NO
SELF, SPOUSE	URBAN ACUPUNCTURE, LLC	MANAGER	MEMBER	X	NO
SELF	WERE THE WORLD MINE, LLC	NONE	MEMBER	X	NO
SELF	WEST LOUISVILLE REVITALIZATION, LLC	MANAGER	MEMBER	X	NO
PUBLIC COMPANIES:					
SPOUSE	BROWN-FORMAN CORPORATION	DIRECTOR	NONE	X	YES
SELF, CHILDREN	BROWN-FORMAN CORPORATION	NONE	NONE	X	YES
SPOUSE	ALPHABET INC CAP STK	NONE	NONE	X	NO
SPOUSE	3M CO COM	NONE	NONE	X	YES
SPOUSE	ABBOTT LABORATORIES INC	NONE	NONE	X	NO
SPOUSE	ABBVIE INC	NONE	NONE	X	NO
SPOUSE	ALBEMARLE CORP	NONE	NONE	X	NO
SPOUSE	ALIBABA GROUP HOLDING ADR	NONE	NONE	X	NO
SPOUSE	ALLERGAN PLC SHS	NONE	NONE	X	YES
SPOUSE	AMAZON.COM INC	NONE	NONE	X	NO
SPOUSE	AMERIPRISE FINANCIAL	NONE	NONE	X	NO
SPOUSE	AMERISOURCE BERGEN CO	NONE	NONE	X	NO
SPOUSE	ANHEUSER BUSCH	NONE	NONE	X	YES
SPOUSE	APPLE INC COM	NONE	NONE	X	YES
SPOUSE	AT&T INC	NONE	NONE	X	YES
SPOUSE	BAIDU COM INC ADR	NONE	NONE	X	NO
SPOUSE	BANK OF AMERICA CORP	NONE	NONE	X	YES
SPOUSE	BECTON DICKINSON & CO	NONE	NONE	X	NO
SPOUSE	BERKSHIRE HATHAWAY INC DEL	NONE	NONE	X	NO
SPOUSE	BOOKING HOLDINGS INC	NONE	NONE	X	NO
SPOUSE	CARDINAL HEALTH INC	NONE	NONE	X	YES
SPOUSE	CARNIVAL CORP	NONE	NONE	X	NO
SPOUSE	CAVIUM INC	NONE	NONE	X	YES
SPOUSE	CBS CORP	NONE	NONE	X	NO
SPOUSE	CELGENE CORP	NONE	NONE	X	NO
SPOUSE	CHARTER COMMUNICATIONS INC	NONE	NONE	X	YES
SPOUSE	CHUBB LTD	NONE	NONE	X	NO
SPOUSE	CISCO SYS INC COM	NONE	NONE	X	YES
SPOUSE	CITIGROUP INC	NONE	NONE	X	YES
SPOUSE	CLOUDERA INC	NONE	NONE	X	NO
SPOUSE	COMCAST CORP	NONE	NONE	X	NO
SPOUSE	COSTCO WHOLESALE CO	NONE	NONE	X	NO
SPOUSE	CVS HEALTH CORPORATION	NONE	NONE	X	YES
SPOUSE	DISNEY WALT CO COM	NONE	NONE	X	YES
SPOUSE	DXC TECHNOLOGY CO	NONE	NONE	X	NO
SPOUSE	EXPEDITORS INTL WASH INC COM	NONE	NONE	X	YES
SPOUSE	EXXON MOBIL CORP	NONE	NONE	X	YES
SPOUSE	FACEBOOK INC	NONE	NONE	X	NO
SPOUSE	FASTENAL CO COM	NONE	NONE	X	YES
SPOUSE	FEDEX CORP	NONE	NONE	X	NO
SPOUSE	FIDELITY TAX EXEMPT MONEY MARKET FUND	NONE	NONE	X	YES
SPOUSE	FIRST SOLAR CORP	NONE	NONE	X	NO
SPOUSE	FISERV INC	NONE	NONE	X	NO
SPOUSE	GENERAL ELECTRIC CO COM	NONE	NONE	X	YES
SPOUSE	GOLDMAN SACHS	NONE	NONE	X	YES
SPOUSE	HARLEY DAVIDSON INC COM	NONE	NONE	X	YES
SPOUSE	HOME DEPOT INC COM	NONE	NONE	X	YES
SPOUSE	HUMANA INC COM	NONE	NONE	X	YES
SPOUSE	IAC/INTERACTIVE CORP	NONE	NONE	X	NO
SPOUSE	ILLINOIS TOOL WORKS INC COM	NONE	NONE	X	YES
SPOUSE	INTEL CORP	NONE	NONE	X	NO
SPOUSE	INTERNATIONAL BUSINESS MACHS CORP COM	NONE	NONE	X	YES

PERSON	ENTITY	QUESTION 9, FIDUCIARY POSITIONS	QUESTION 10, OTHER POSITIONS	QUESTION 11, INVESTMENT > \$10,000	QUESTION 12, INCOME > \$1,000
SPOUSE	INTERNATIONAL PAPER	NONE	NONE	X	NO
SPOUSE	ISHARES 1-3 YEAR TREASURY BOND ETF	NONE	NONE	X	YES
SPOUSE	JM SMUCKER	NONE	NONE	X	YES
SPOUSE	JOHNSON & JOHNSON COM	NONE	NONE	X	YES
SPOUSE	JPMORGAN CHASE & CO COM	NONE	NONE	X	YES
SPOUSE	KENTUCKY STATE PPTY & BLDGS COMMN MUNI BONDS	NONE	NONE	X	YES
SPOUSE	LABORATORY CORP OF AMERICA HLDGS	NONE	NONE	X	YES
SPOUSE	MARVELL TECH GROUP	NONE	NONE	X	NO
SPOUSE	MERCK & CO INC	NONE	NONE	X	YES
SPOUSE	MICRON TECHNOLOGY	NONE	NONE	X	NO
SPOUSE	MICROSOFT CORP COM	NONE	NONE	X	YES
SPOUSE	MOODY'S CORP	NONE	NONE	X	NO
SPOUSE	MORGAN STANLEY	NONE	NONE	X	YES
SPOUSE	MYLAN NV	NONE	NONE	X	NO
SPOUSE	NORTHERN TRUST CORP COM	NONE	NONE	X	YES
SPOUSE	O REILLY AUTOMOTIVE INC NEW COM	NONE	NONE	X	NO
SPOUSE	OMNICOM GROUP INC COM	NONE	NONE	X	YES
SPOUSE	ORACLE	NONE	NONE	X	NO
SPOUSE	PALO ALTO NETWORKS	NONE	NONE	X	NO
SPOUSE	PFIZER INC COM	NONE	NONE	X	YES
SPOUSE	PRESTIGE CONSUMER HEALTHCARE INC	NONE	NONE	X	NO
SPOUSE	PROCTER & GAMBLE	NONE	NONE	X	YES
SPOUSE	PROGRESSIVE CORP OH COM	NONE	NONE	X	YES
SPOUSE	PRUDENTIAL FINANCIAL	NONE	NONE	X	NO
SPOUSE	REDFIN CORPORATION	NONE	NONE	X	YES
SPOUSE	SKYWORKS SOLUTIONS	NONE	NONE	X	NO
SPOUSE	SPDR DOW JONES REIT ETF	NONE	NONE	X	YES
SPOUSE	S&P GLOBAL INC	NONE	NONE	X	YES
SPOUSE	TE CONNECTIVITY LTD REG SHS	NONE	NONE	X	YES
SPOUSE	TESLA INC	NONE	NONE	X	NO
SPOUSE	TJX COS INC NEW COM	NONE	NONE	X	YES
SPOUSE	UNILEVER PLC ADR	NONE	NONE	X	YES
SPOUSE	UNION PACIFIC CORP COM	NONE	NONE	X	YES
SPOUSE	UNITED TECHNOLOGIES	NONE	NONE	X	NO
SPOUSE	US BANCORP DEL COM NEW	NONE	NONE	X	YES
SPOUSE	VANGUARD BD INDEX FD INC SHORT TERM BD ETF	NONE	NONE	X	YES
SPOUSE	VANGUARD SMALL CAP GROWTH INDEX FUND	NONE	NONE	X	YES
SPOUSE	VERIZON COMMUNICATIONS	NONE	NONE	X	YES
SPOUSE	VISA INC	NONE	NONE	X	NO
SPOUSE	WALGREENS BOOTS ALLIANCE	NONE	NONE	X	YES
SPOUSE	WEC ENERGY GROUP INC	NONE	NONE	X	NO
SPOUSE	WELLS FARGO & CO NEW COM	NONE	NONE	X	YES
SPOUSE	WESTERN DIGITAL CORP	NONE	NONE	X	NO
SPOUSE	WHIRLPOOL CORP	NONE	NONE	X	NO
SPOUSE	WORKDAY INC	NONE	NONE	X	NO

* Descendants, including dependent children, may have indirect trust interests in assets described above.

